

## **Form ADV Part 2B Brochure Supplement for David Hohimer**

June 26, 2020

This Brochure Supplement provides information about David Hohimer that supplements the Hohimer Wealth Management, LLC Brochure. You should have received a copy of that Brochure. Please contact us if you did not receive our Brochure or if you have any questions about the contents of this supplement.

Additional information about David Hohimer is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 2- Educational Background and Business Experience**

David Hohimer was born in 1970.

#### **EDUCATION:**

Mr. Hohimer received a Bachelor of Business Administration from National-Louis University and a Master of Arts from The University of Phoenix. David holds the following designations:

- **Accredited Investment Fiduciary (AIF®)** - The Accredited Investment Fiduciary (AIF®) designation is issued by the Center for Fiduciary Studies. Individuals who hold the AIF® designation have completed training on the principles of fiduciary duty, standards of conduct for acting as a fiduciary, and a process for carrying out fiduciary responsibility. Additionally, individuals must pass an examination and adhere to a Code of Ethics and Conduct Standards. Designees renew their designation annually by completing six hours of continuing education and reaffirming adherence to the Code of Ethics and Conduct Standards. All designees must have a minimum of two (2) years of relevant experience; a bachelor's degree (or higher); and a professional credential; a minimum of five (5) years of relevant experience; a bachelor's degree (or higher) or a professional credential; or a minimum of eight (8) years of relevant experience.
- **Certified Private Wealth Advisor® (CPWA®)** - The Certified Private Wealth Advisor (CPWA®) is issued by the Investments & Wealth Institute. Individuals who hold the CPWA® designation have met initial and on-going experience, ethical, education, and examination requirements. Designees must have a Bachelor's degree from an accredited college or university or one of the following

designations or licenses: CIMA®, CIMC®, CFA®, CFP®, ChFC® or CPA license; acceptable regulatory history as evidenced by FINRA Form U-4 or other regulatory requirements; five years of professional client-centered experience in financial services or a related industry; and two letters of reference from a member of the Investments & Wealth Institute, professional supervisor, or currently licensed professional in financial services or a related industry. Designees have completed a rigorous educational process that includes self-study requirements, an in-class education component, and successful completion of a comprehensive examination on private wealth management topics and strategies for high-net-worth clients. Designees are required to adhere to a Code of Professional Responsibility and Rules and Guidelines for Use of the Marks and must report 40 hours of continuing education credits, including two ethics hours, every two years to maintain the certification.

#### **BUSINESS EXPERIENCE:**

- President, Managing Member, and Investment Adviser Representative  
Hohimer Wealth Management, LLC - April 2019 to present
- Owner and Licensed Insurance Agent  
Risk & Liability Consultants LLC – June 2020 to present
- Financial Advisor  
Wells Fargo Clearing Services, LLC – November 2016 to April 2019
- Registered Representative  
Wells Fargo Advisors LLC – April 2005 to November 2016

#### **Item 3- Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. David Hohimer has not been involved in any such events. Additional information on Mr. Hohimer is available at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

#### **Item 4- Other Business Activities**

In addition to his affiliation with Hohimer Wealth Management, David Hohimer engages in the following business activities:

- Trustee of David & Sue Ann Hohimer Family Foundation, a charitable organization
- Board/Committee Member of the West Seattle Football and Cheer Board
- Board/Committee Member of Highline Hospital (Medical Center)

David Hohimer is also a licensed insurance agent and the owner of Risk & Liability Consultants LLC (“R&LC”), a commercial loan broker specializing in connecting small business owners and investors with loans for their business or commercial uses, such as multifamily housing, construction, retail or refinancing an existing commercial loan. R&LC also provides license mortgage brokerage services and offers life insurance products through licensed agents. Mr. Hohimer spends a substantial amount of his time and receives a substantial amount of income on this activity.

David Hohimer receives additional compensation in the form of commissions for the sale of insurance products, which presents a conflict of interest as it gives Mr. Hohimer an incentive to recommend insurance products offered through R&LC. David Hohimer may also recommend Hohimer Wealth Management to loan clients in need of advisory services. Hohimer Wealth Management may recommend R&LC to advisory clients

in need of loan services or insurance. Loan and insurance services provided by David Hohimer are separate and distinct from the advisory services of Hohimer Wealth Management and are provided for separate and typical compensation. No Hohimer Wealth Management client is obligated to use R&LC or David Hohimer for any loan or insurance services. Any recommendation to use R&LC presents a conflict of interest due to Mr. Hohimer acting as the owner of both Hohimer Wealth Management and R&LC. Hohimer Wealth Management addresses these conflicts of interest by upholding our fiduciary duty to provide investment advice that is in your best interest and disclosing the conflict to you before or at the time you enter into an advisory contract with our firm.

### **Item 5- Additional Compensation**

David Hohimer does not receive any additional compensation other than standard salary and bonus arrangements.

### **Item 6 - Supervision**

David Hohimer is supervised by the firm's Chief Compliance Officer, Orest Taraban. Mr. Hohimer's accounts are subject to regular review and verification that asset balances are being managed in accordance with the client's investment guidelines. Mr. Taraban can be reached at 206-709-5950.

## **Form ADV Part 2B Brochure Supplement for Alexander Peters**

June 26, 2020

This Brochure Supplement provides information about Alexander Peters that supplements the Hohimer Wealth Management, LLC Brochure. You should have received a copy of that Brochure. Please contact us if you did not receive our Brochure or if you have any questions about the contents of this supplement.

### **Item 2- Educational Background and Business Experience**

Alexander Peters was born in 1967.

#### **EDUCATION:**

Mr. Peters received a Bachelor of Arts in English from The University of Washington and a Master of Business Administration from The University of San Francisco. Alexander also holds the Chartered Financial Analyst (CFA®) designation. The program that leads to the CFA® designation is a graduate level program and involves three exams levels, at least four (4) years of relevant work experience or a bachelor's degree (or equivalent) from an accredited college or university, ethics requirements and 20 hours of professional continuing education credits each year to maintain the designation. The CFA designation is issued by the CFA Institute.

#### **BUSINESS EXPERIENCE:**

- Portfolio Manager  
Hohimer Wealth Management, LLC – January 2019 to Present
- Loan Consultant  
Risk & Liability Consultants LLC – June 2020 to Present
- Managing Partner  
Vashon Capital – March 2017 to January 2019
- Vice President and Portfolio Manager  
Franklin Resources – November 1992 to March 2017

### **Item 3- Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. Alexander Peters has not been involved in any such events.

### **Item 4- Other Business Activities**

In addition to his affiliation with Hohimer Wealth Management, Alexander Peters also acts as President for San Jose Logistics, which provides logistic services for businesses. This activity provides a substantial source of Mr. Peters' income and involves a substantial amount of his time.

Alexander Peters is also separately employed as a loan consultant with Risk & Liability Consultants LLC ("R&LC"), a commercial loan broker specializing in connecting small business owners and investors with loans for their business or commercial uses, such as multifamily housing, construction, retail or refinancing an existing commercial loan. R&LC also provides license mortgage brokerage services and offers life insurance products through licensed agents. Mr. Peters may spend a substantial amount of his time and receive a substantial amount of income on this activity.

Alexander Peters may recommend Hohimer Wealth Management to loan clients in need of advisory services. Hohimer Wealth Management may recommend R&LC to advisory clients in need of loan services. Loan services provided by Alexander Peters are separate and distinct from the advisory services of Hohimer Wealth Management and are provided for separate and typical compensation. No Hohimer Wealth Management client is obligated to use R&LC or Alexander Peters for any loan services. Any recommendation to use R&LC presents a conflict of interest due to Hohimer Wealth Management and R&LC sharing common ownership. Hohimer Wealth Management addresses this conflict of interest by upholding our fiduciary duty to provide investment advice that is in your best interest and disclosing the conflict to you before or at the time you enter into an advisory contract with our firm.

### **Item 5- Additional Compensation**

Alexander Peters does not receive any additional compensation other than standard salary and bonus arrangements.

### **Item 6 - Supervision**

Alexandre Peters is supervised by the firm's Chief Compliance Officer, Orest Taraban. Mr. Peter's accounts are subject to regular review and verification that asset balances are being managed in accordance with the client's investment guidelines. Mr. Taraban can be reached at 206-709-5950.

## **Form ADV Part 2B Brochure Supplement for David Simpson**

June 26, 2020

This Brochure Supplement provides information about David Simpson that supplements the Hohimer Wealth Management, LLC Brochure. You should have received a copy of that Brochure. Please contact us if you did not receive our Brochure or if you have any questions about the contents of this supplement.

Additional information about David Simpson is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 2- Educational Background and Business Experience**

David Simpson was born in 1988.

#### **EDUCATION:**

Mr. Simpson received a Bachelor of Science from Seattle Pacific University. David holds the following designation:

- Accredited Wealth Management Advisor (AWMA®) – The Accredited Wealth Management Advisor (AWMA®) designation is issued by the College for Financial Planning. Individuals who hold the AWMA® designation have completed training on behavioral finance, working with small business owners, and succession/exit planning. Additionally, individuals must pass an examination and adhere to a Code of Ethics and Conduct Standards. Designees renew their designation every two years by completing sixteen hours of continuing education.

#### **BUSINESS EXPERIENCE:**

- Investment Adviser Representative  
Hohimer Wealth Management, LLC - April 2019 to present
- Financial Advisor  
Wells Fargo Clearing Services, LLC – September 2017 to April 2019

- Facility Manager  
Jones Lang LaSalle – June 2014 to September 2017
- Personal Trainer  
Washington Athletic Club – January 2012 to April 2014

### **Item 3- Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. David Simpson has not been involved in any such events. Additional information on Mr. Simpson is available at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4- Other Business Activities**

David Simpson does not engage in any business activities outside of his association with Hohimer Wealth Management.

### **Item 5- Additional Compensation**

David Simpson does not receive any additional compensation other than standard salary and bonus arrangements.

### **Item 6 - Supervision**

David Simpson is supervised by the firm's Chief Compliance Officer, Orest Taraban. Mr. Simpson's accounts are subject to regular review and verification that asset balances are being managed in accordance with the client's investment guidelines. Mr. Taraban can be reached at 206-709-5950.

## **Form ADV Part 2B Brochure Supplement for Jack Hohimer**

October 15, 2020

This Brochure Supplement provides information about Jack Hohimer that supplements the Hohimer Wealth Management, LLC Brochure. You should have received a copy of that Brochure. Please contact us if you did not receive our Brochure or if you have any questions about the contents of this supplement.

Additional information about Jack Hohimer is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 2- Educational Background and Business Experience**

Jack Hohimer was born in 1998.

#### **EDUCATION:**

Mr. Hohimer received a Bachelor of Business Administration from University of Washington-Bothell.

#### **BUSINESS EXPERIENCE:**

- Research Analyst  
Hohimer Wealth Management, LLC - April 2019 to present
- Mortgage Loan Originator  
LendinCo - October 2020 to present
- Intern  
Wells Fargo Advisors, LLC - October 2016 to February 2019

### **Item 3- Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing



investment advice. Jack Hohimer has not been involved in any such events. Additional information on Mr. Hohimer is available at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

#### **Item 4- Other Business Activities**

Jack Hohimer is also employed at LendinCo as a Mortgage Loan Originator. This position contributes to more than 10% of his annual income. Mr. Hohimer devotes approximately 60 hours per month to this position.

#### **Item 5- Additional Compensation**

Jack Hohimer does not receive any economic benefit from any person who is not a client for providing advisory services. In addition to his employment with Hohimer Wealth Management, Mr. Hohimer is employed at LendinCo for which he is compensated by a standard salary and bonus arrangement.

#### **Item 6 - Supervision**

Jack Hohimer is supervised by the firm's Chief Compliance Officer, Orest Taraban. Mr. Hohimer's accounts are subject to regular review and verification that asset balances are being managed in accordance with the client's investment guidelines. Mr. Taraban can be reached at 206-709-5950.

## **Form ADV Part 2B Brochure Supplement for Patrick Conklin**

June 26, 2020

This Brochure Supplement provides information about Patrick Conklin that supplements the Hohimer Wealth Management, LLC Brochure. You should have received a copy of that Brochure. Please contact us if you did not receive our Brochure or if you have any questions about the contents of this supplement.

Additional information about Patrick Conklin is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 2- Educational Background and Business Experience**

Patrick Conklin was born in 1990.

#### **EDUCATION:**

Mr. Conklin received a Bachelor of Science from Miami University. Patrick also holds the Certified Financial Planner (CFP®) designation. The program that leads to the CFP® designation involves a certification examination, at least three (3) years of relevant full-time personal financial planning experience, ethics requirements and 30 hours of professional continuing education credits every two years to maintain the designation. Candidates for CFP® certification must have a bachelor's degree (or equivalent) from an accredited college or university.

#### **BUSINESS EXPERIENCE:**

- Investment Adviser Representative  
Hohimer Wealth Management, LLC - April 2019 to present
- Financial Advisor  
Wells Fargo Clearing Services, LLC - November 2016 to April 2019
- Registered Representative  
Wells Fargo Advisors, LLC - March 2016 to November 2016
- Financial Professional  
Prudential Insurance Company of America - May 2013 to March 2016
- Registered Representative  
Pruco Securities LLC - May 2013 to March 2016

### **Item 3- Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. Patrick Conklin has not been involved in any such events. Additional information on Mr. Conklin is available at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4- Other Business Activities**

Patrick Conklin does not engage in any business activities outside of his affiliation with Hohimer Wealth Management.

### **Item 5- Additional Compensation**

Patrick Conklin does not receive any additional compensation other than standard salary and bonus arrangements.

### **Item 6 - Supervision**

Patrick Conklin is supervised by the firm's Chief Compliance Officer, Orest Taraban. Mr. Conklin's accounts are subject to regular review and verification that asset balances are being managed in accordance with the client's investment guidelines. Mr. Taraban can be reached at 206-709-5950.

## **Form ADV Part 2B Brochure Supplement for Thomas Braile**

June 26, 2020

This Brochure Supplement provides information about Thomas Braile that supplements the Hohimer Wealth Management, LLC Brochure. You should have received a copy of that Brochure. Please contact us if you did not receive our Brochure or if you have any questions about the contents of this supplement.

Additional information about Thomas Braile is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 2- Educational Background and Business Experience**

Thomas Braile was born in 1982.

#### **EDUCATION:**

Mr. Braile has no formal education after high school. Thomas holds the following designations:

- Accredited Investment Fiduciary (AIF®) - The Accredited Investment Fiduciary (AIF®) designation is issued by the Center for Fiduciary Studies. Individuals who hold the AIF® designation have completed training on the principles of fiduciary duty, standards of conduct for acting as a fiduciary, and a process for carrying out fiduciary responsibility. Additionally, individuals must pass an examination and adhere to a Code of Ethics and Conduct Standards. Designees renew their designation annually by completing six hours of continuing education and reaffirming adherence to the Code of Ethics and Conduct Standards. All designees must have a minimum of two (2) years of relevant experience; a bachelor's degree (or higher); and a professional credential; a minimum of five (5) years of relevant experience; a bachelor's degree (or higher) or a professional credential; or a minimum of eight (8) years of relevant experience.
- Chartered Retirement Plan Specialist (CRPS®) designation - The CRPS® designation is issued by the College of Financial Planning. Individuals who hold the CRPS® designation have completed a course of study encompassing design, installation, maintenance and administration of retirement plans. Additionally, individuals must pass an end-of-course

examination that tests their ability to synthesize complex concepts and apply theoretical concepts to real-life situations. All designees have agreed to adhere to Standards of Professional Conduct and are subject to a disciplinary process. Designees renew their designation every two-years by completing 16 hours of continuing education, reaffirming adherence to the Standards of Professional Conduct and complying with self-disclosure requirements. There are no prerequisites or business experience required to obtain the designation.

- Chartered Retirement Planning Counselor (CRPC®) – The CRPC® is a professional financial planning designation awarded by the College for Financial Planning. Individuals may earn the CRPC® designation by completing a study program encompassing pre- and post-retirement needs, asset management, estate planning and the entire retirement planning process using models and techniques from real client situations. Designees must pass a final multiple-choice examination. Every two years, CRPC® professionals must complete 16 hours of continuing education to continue using the designation. There are no prerequisites or business experience required to obtain the designation.

**BUSINESS EXPERIENCE:**

- Investment Adviser Representative  
Hohimer Wealth Management, LLC - April 2019 to present
- Financial Advisor  
Wells Fargo Clearing Services, LLC – November 2016 to April 2019
- Registered Representative  
Wells Fargo Advisors, LLC – May 2009 to November 2016

**Item 3- Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. Thomas Braile has not been involved in any such events. Additional information on Mr. Braile is available at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4- Other Business Activities**

Thomas Braile does not engage in any business activities outside of his affiliation with Hohimer Wealth Management.

**Item 5- Additional Compensation**

Thomas Braile does not receive any additional compensation other than standard salary and bonus arrangements.

**Item 6 - Supervision**

Thomas Braile is supervised by the firm's Chief Compliance Officer, Orest Taraban. Mr. Braile's accounts are subject to regular review and verification that asset balances are being managed in accordance with the client's investment guidelines. Mr. Taraban can be reached at 206-709-5950.